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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**CHRISTOPHER J. SPENCER and  
JOHN BUSSHAUS**

**Defendants.**

**Case No. 1:19-cv-9070**

**PLAINTIFF'S UNOPPOSED MOTION TO ENTER JUDGMENTS AGAINST  
DEFENDANTS CHRISTOPHER J. SPENCER AND JOHN BUSSHAUS**

Plaintiff Securities and Exchange Commission (“Commission”) files this Unopposed Motion to Enter Judgments against Defendants Christopher J. Spencer and John Busshaus (“Defendant”), and respectfully shows the Court as follows:

1. On September 30, 2019, the Commission filed suit alleging violations of the federal securities laws by the Defendants.
2. The Commission has reached a settlement with Defendants regarding the Commission’s claims. Defendants have executed the attached Consents and agree to the form of

the attached proposed Judgments. A copy of the Consents are attached hereto as Exhibit "A" and a copy of the Judgments are attached hereto as Exhibit "B".

3. The Commission respectfully requests that the Court enter the Judgments of permanent injunction as to Defendants and that the Court expressly rule that there is no just reason for delaying the entry of such Judgment under Federal Rule of Civil Procedure 54(b).

October 2, 2019

Respectfully submitted,

*s/Matthew J. Gulde*

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**CERTIFICATE OF CONFERENCE**

I certify that counsel for the Commission conferred with counsel for Defendants, who stated that Defendants are not opposed to the relief requested in this motion.

s/Matthew J. Gulde

Matthew J. Gulde

**CERTIFICATE OF SERVICE**

I hereby certify that on October 2, 2019, a copy of foregoing *Unopposed Motion To Enter Judgments Against Defendants Christopher J. Spencer and John Busshaus* was filed electronically and served by mail on anyone unable to accept electronic filing. Notice of this filing will be sent by e-mail to all parties by operation of the Court's electronic filing system or by mail to anyone unable to accept electronic filing as indicated on the Notice of Electronic Filing. Parties may access this filing through the Court's CM/ECF System.

s/Matthew J. Gulde

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